



Practitioner's Docket No. 1898-4

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent application

of \_\_\_\_\_  
Inventor(s)

for \_\_\_\_\_  
Title of invention

the specification of which is being transmitted herewith

OR

In re application of: NEWTON, Edward R.

Application No.: 10 / 672,355 Group No.: 3632

Filed: September 29, 2003 Examiner:

For: DEVICE FOR HOLDING MULTIPLE BEVERAGE CONTAINERS

Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\***  
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I hereby certify that, on the date shown below, this correspondence is being:

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(Information Disclosure Statement [6-1]—page 1 of \_\_\_\_\_)

**NOTE:** "An information disclosure statement shall be considered by the Office if filed by the applicant:

- (1) Within three months of the filing date of a national application;
  - (2) Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; or
  - (3) Before the mailing date of a first Office action on the merits, whichever event occurs last."
- 37 C.F.R. § 1.97(b).

**NOTE:** "Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section." 37 C.F.R. § 1.56(a).

"Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) each inventor named in the application;
- (2) each attorney or agent who prepares or prosecutes the application; and
- (3) every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application." 37 C.F.R. § 1.56(c).

**NOTE:** The "duty as described in § 1.56 will be met so long as the information in question was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98 before issuance of the patent." Notice of January 9, 1992, 1135 O.G. 13 -25 at 17.

**WARNING:** "No information disclosure statement may be filed in a provisional application." 37 C.F.R. § 1.51(b).

### List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

(check sections forming a part of this statement: discard unused sections  
and number pages consecutively)

1. ☒ Preliminary Statements
2. ☒ FORMS PTO/SB/08A and 08B (formerly FORM PTO-1449)
3. ☐ Statement as to Information Not Found in Patents or Publications
4. ☐ Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted
5. ☐ Cumulative Patents or Publications
6. ☒ Copies of Listed Information Items Accompanying This Statement
7. ☐ Concise Explanation of Non-English Language Listed Information Items
  - 7A. ☐ EPO Search Report
  - 7B. ☐ English Language Version of EPO Search Report
8. ☐ Translation(s) of Non-English Language Documents
9. ☒ Concise Explanation of English Language Listed Information Items (Optional)
10. ☒ Identification of Person(s) Making This Information Disclosure Statement

(complete the following, if appropriate)

Sections \_\_\_\_\_, respectively, have been continued on ADDED PAGE(S).

**NOTE:** "Once the minimum requirements are met, the examiner has an obligation to consider the information." Notice of April 20, 1992 (1138 O.G. 37-41, 37).

## **Section 1. Preliminary statements**

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.



## Section 6. Copies of Listed Information Items Accompanying This Statement

**NOTE:** 37 C.F.R. 1.98(a)(2) requires that any information disclosure statement filed under § 1.97 shall include: "A legible copy of: (i) Each U.S. and foreign patent; (ii) Each publication or that portion which caused it to be listed; and (iii) All other information or that portion which caused it to be listed, except that no copy of a U.S. patent application need be included . . . ."

**NOTE:** The wording in § 1.98(a)(2)(iii) makes it clear that the requirement to submit a copy of each item of information listed in an information disclosure statement does not apply to the citation of a U.S. patent application. Notice of January 9, 1992, 1135 O.G. 13-25, at 14.

Legible copies of all items listed in Forms PTO/SB/08A and 08B (formerly Form PTO-1449) accompany this information statement.

*(complete the following, if applicable)*

- ☐ Exception(s) to above:
  - ☐ Items in prior application, from which an earlier filing date is claimed for this application, as identified in Section 4.
  - ☐ Cumulative patents or publications identified in Section 5.

## **Section 9. Concise Explanation of English Language Listed Information Items (OPTIONAL)**

**NOTE:** *"Applicants may, if they wish, provide a concise explanation of why English-language information is being submitted and how it is understood to be relevant. Concise explanations are helpful to the Office, particularly where documents are lengthy and complex and applicant is aware of a section that is highly relevant to patentability or where a large number of documents are submitted and applicant is aware that one or more are highly relevant to patentability." Notice of April 20, 1992 (1138 O.G. 37-41, 38).*

U.S. Patent No. 6,520,366, issued on February 18, 2003 to Bradley et al., teaches a beverage container holder which includes a single-cup beverage container holder, a dual-cup beverage container holder, a three-cup beverage container holder and four-cup beverage container holder. The container holders are characterized by at least one container cup and a handle attached to the container cup. The handle is hollow and serrated to facilitate the non-rotatable nesting of the handles of two or more of the beverage container holders in either a stored or functional configuration. The various configurations of the container cups on the handles facilitate selective positioning of the container cups of multiple nested beverage container holders so as to enable an individual to carry a selected number of beverages using one hand at the nested handles.

U.S. Patent No. 6,131,732, issued on October 17, 2000 to Schneider, describes a foam drink tray having improved cup cavities. This tray has a foam base with at least two cup cavities disposed in a top thereof. At least one hand cavity is formed in a top thereof for the retention by a hand. The side wall of each cup cavity is shaped in several different ways. Each side wall surface which contacts the cup or can is chamfered where it meets the top of tray.

U.S. Patent No. 5,971,167, issued on October 26, 1999 to Finbow, teaches a holder for stemmed drinking vessels. This holder has a base and a bowl connected by a stem. The holder has a support structure and vessel supports are disposed on the support member to support a plurality of vessels with their stems crossed. The support structure is formed symmetrically about an axis to define an interior space for receiving the vessels.

U.S. Patent No. 5,971,139, issued on October 26, 1999 to Bradley, teaches a food and beverage tray. This tray includes a plate section that has a planar inner section that is surrounded by a raised lip. A cup holder, for holding a beverage container, is attached to the plate section by an intermediate section. A hand grip extends downwardly from the intermediate section. The hand grip is hollow and provides space for tableware and condiments. The hand grip has a plurality of serrations to facilitate gripping the hand grip. A plurality of supports extend downwardly from the plate section to provide additional support to the plate section when the food and beverage tray is placed upon a supporting surface. The supports are placed apart so as to extend downwardly on opposite sides of a person's arm when the food and beverage tray is held.

**Section 10. Identification of Person(s) Making This Information Disclosure Statement**

The person making this statement is

*(check each applicable item)*

- (a) ☐ the inventor(s) who signs below

\_\_\_\_\_  
SIGNATURE OF INVENTOR

\_\_\_\_\_  
*(type name of inventor who is signing)*

- (b) ☐ an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c))

\_\_\_\_\_  
SIGNATURE OF INVENTOR

\_\_\_\_\_  
*(type name of inventor who is signing)*

- (c) ☒ the practitioner who signs below on the basis of the information:

*(check each applicable item)*

- ☐ supplied by the inventor(s).  
☐ supplied by an individual associated with the filing and prosecution of this application. (37 C.F.R. § 1.56(c))  
☒ in the practitioner's file.

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\_\_\_\_\_  
SIGNATURE OF PRACTITIONER

John S. Egbert

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*(type or print name of practitioner)*

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